

Total Score:

Provider Name:	ABC Community College
Provider EIN	
Provider's DUNS number:	
Risk Assessment Completed by:	
Date Risk Assessment Completed:	
Program Year:	

Purpose To assist state staff in effectively monitoring potential risk factors associated with grants funded by federal pass through funds to sub-reipients. The focus is to ensure that grant programs meet the following requirements:

- 1) adhere to the grantor's guidelines and agreements,
- 2) remain within budget,
- 3) are able to carry out the scope of service, and
- 4) ensure that proper internal controls are in place.

Procedure Based on an evaluation of the grantee's award application, internal controls, and prior history with grant awards, state staff shall rate each category below. Scores will then be summed to determine if the level of risk is high, medium, or low.

The risk score determines the order in which state staff will evaluate the grant

Risk Assessment program and/or perform a site visit.

 High Risk	A score of 65 and above requires intensive follow-up and improvement based on thorough evaluation of the grant project and execution of the approved action plan.
 Medium Risk	A score of 36-64 requires evaluation of areas that need improvement and improving those areas based on the approved action plan.
 Low Risk	A score 35 or lower generally identifies that the program is at lower risk for potential waste, mismanagement, non-compliance or fraud.

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ABC Community College

Finance

1. Is the Lead Administrator or the agency on the Federal or State Debarment List?	No	Eligible
2. Does the person in charge of your agency's finances have at least three years of experience in accounting?		
3. Other than WIOA Title II, does the Agency have experience managing other federal, state, local, or private funds?		
4. Amount of AEFLA grant awarded for this provider:		
5. Single Audit Status		
6. Did providers submit the required OCTAE budget documents by the required deadline at the beginning of the program year?		

Program

7. Did the director attend the July 26, 2018 meeting?		
8. Number of Years that the Title II AEFLA program director has been in the position as of the application date?		
9. Percentage of all instructors (full and part-time) in their position for 3 or more years:		
10. Has there been a Title II AEFLA Corrective Action Plan in the last five years?		
Were all findings resolved?		
11. How many years has it been since the provider had a formal on-site monitoring review for any WIA or WIOA Title II funds?		

Performance/Assessment

12. What is the agency's student retention rate for the preceding year?		
13. What is the agency's post test rate?		
14. What is the agency's overall MSG rate by POPs?		
15. Does the agency employ a staff person that devotes at least 50% of their time to data management?		
16. Does the agency have assessment contact(s) trained within the past two years?		