



4A SBCCC Chapter A. is proposed for adoption as follows:

1 **State Board of Community Colleges Code**
2 **Title 4 – APPRENTICESHIPNC**

3
4 **CHAPTER A. GENERAL PROVISIONS**

5
6 **4A SBCCC 100.1 – State Apprenticeship Agency is ApprenticeshipNC**

7 The North Carolina Community Colleges System Office (through ApprenticeshipNC)
8 functions as the State Apprenticeship Agency with authority to determine whether
9 apprenticeship programs operating in this State conform to the provisions of Article 1A of
10 Chapter 115D of the North Carolina General Statutes, the State Board of Community
11 Colleges Code, and the standards published by the U.S. Secretary of Labor in Title 29,
12 Parts 29 and 30 of the Code of Federal Regulations. The State Apprenticeship Agency
13 is the state registration agency and has the responsibility for registering apprenticeship
14 programs, agreements, and apprentices. The following federal regulations are hereby
15 incorporated and adopted, to be administered by ApprenticeshipNC:

- 16 (a) 29 CFR 29.3 – Eligibility and procedure for registration of an apprenticeship program
17 (b) 29 CFR 29.4 – Criteria for apprenticeable occupations
18 (c) 29 CFR 29.5 – Standards of apprenticeship
19 (d) 29 CFR 29.6 – Program performance standards
20 (e) 29 CFR 29.7 – Apprenticeship agreement
21 (f) 29 CFR 29.8 – Deregistration of a registered program
22 (g) 29 CFR 29.9 – Reinstatement of program registration
23 (h) 29 CFR 29.12 – Complaints

24
25 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

26 *Eff.*

27
28 **4A SBCCC 100.2 – ApprenticeshipNC Headquarters**

29 (a) The ApprenticeshipNC headquarters is located in the North Carolina Community
30 Colleges System Office. The physical address is 200 West Jones Street, Raleigh, North
31 Carolina, 27603.

1 (b) All correspondence should be sent to the following mailing address:

2 North Carolina Community Colleges System Office

3 ApprenticeshipNC

4 5001 Mail Service Center

5 Raleigh, NC 27699-5001

6
7 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

8 *Eff.*

9
10 **4A SBCCC 100.3 – Definitions**

11 The definitions listed in 29 CFR 29.2 shall apply to terms found in the federal regulations
12 administered by ApprenticeshipNC, as listed in 4A SBCCC 100.1. Other definitions that
13 apply in this Chapter are as follows.

14 (a) Director – The Director of ApprenticeshipNC.

15 (b) Registration – The recognition by the Director and the recording with
16 ApprenticeshipNC of an apprenticeship, apprenticeship agreement, or an apprentice
17 signifying that the program, agreement, or individual complies with the rules,
18 requirements, criteria, and standards of this Chapter.

19 (c) Related Instruction – An organized and systematic form of instruction designed to
20 provide the apprentice or trainee with knowledge of the theoretical and technical subjects
21 related to their trade or occupation. Such instruction may be given in a classroom,
22 through occupational or industrial courses, by correspondence courses, through
23 electronic media, or through other forms of self-study.

24 (d) Revision – Any substantive modification or change of the program standards of
25 apprenticeship (including an affirmative action plan and a written description of the
26 selection procedure) or of an apprenticeship agreement.

27 (e) Standards – The program standards of apprenticeship as set forth in 29 CFR 29.5.

28 (f) Technical Assistance – Guidance provided by ApprenticeshipNC staff in the
29 development, revision, amendment, or processing of a potential or current program
30 sponsor's Standards of Apprenticeship, Apprenticeship Agreements, or advice or

1 consultation with a program sponsor to further compliance with this Chapter or guidance
 2 from ApprenticeshipNC on how to remedy nonconformity with this Chapter.

3
 4 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

5 *Eff.*

6
 7 **4A SBCCC 100.4 – Discretionary Powers of the Director**

8 Notwithstanding any other provision of this Chapter, the Director of ApprenticeshipNC,
 9 under the supervision of the President of the North Carolina Community College System
 10 or the President's designee, retains the power to vary, at their discretion, the requirements
 11 for an apprenticeship program or agreement in order to respond to unique or unusual
 12 circumstances, including but not limited to the operation of apprenticeship programs in
 13 penal institutions, so long as and only to the extent that the variations are not contrary to
 14 federal or state law, that they serve to further apprenticeship in general and a certain
 15 apprenticeship program or agreement in particular, and that the Director does not act
 16 arbitrarily or capriciously.

17
 18 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

19 *Eff.*

20
 21 **4A SBCCC 100.5 – Minimum Qualifications of Apprentices**

22 (a) An apprentice must have the following qualifications:

23 (1) The apprentice must be at least 16 years old, except where a higher minimum age
 24 is otherwise fixed by law; and

25 (2) The apprentice must be a high school graduate or, must have passed an
 26 equivalency examination. An exception can be granted with the approval of the
 27 Director, if the apprentice is currently enrolled as a high school student participating
 28 in a course of study that will meet graduation requirements.

29 (b) Additional minimum qualifications for apprentices may be established by the sponsor
 30 so long as the additional qualifications comply with the equal employment opportunity
 31 regulations listed in 4B SBCCC 100.1.

1
2 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

3 *Eff.*

4
5 **4A SBCCC 100.6 – Program Registration Request Procedure**

6 (a) Upon request by a prospective sponsor to an official of ApprenticeshipNC, or upon
7 the initiative of an official of ApprenticeshipNC, a representative of ApprenticeshipNC
8 shall contact the prospective sponsor for the purpose of discussing the requirements for
9 registration and the procedures necessary to register and operate an apprenticeship
10 program.

11 (b) If the prospective sponsor elects to request registration of an apprenticeship program,
12 it shall complete and submit to the Director the following:

13 (1) A written request, signed by the prospective sponsor, for registration of an
14 apprenticeship program meeting the requirements of 29 CFR 29.5;

15 (2) An original of the program standards of apprenticeship required under 29 CFR
16 29.5, including an affirmative action plan according to 29 CFR 30.4 and a written
17 description of the selection procedure according to 29 CFR 30.10, unless otherwise
18 exempted under 29 CFR 30.19;

19 (3) A written agreement to comply with the program standards by a participating
20 employer as provided; and

21 (4) One of the following:

22 (A) A written acknowledgment of union agreement or "no objection" to the
23 registration when the program standards, collective bargaining agreement, or other
24 instrument provides for participation by a union in any manner in the operation of
25 the substantive matters of the apprenticeship program and such participation is
26 exercised;

27 (B) A written acknowledgment of a union's receipt of a copy of the completed
28 application forms when the union represents employees in the trade which is an
29 objective of the apprenticeship training, unless an acknowledgment under Part (A)
30 of this Subparagraph is required; or

1 (C) A signed statement by the sponsor that no unions represent employees of the
2 sponsor or participating employers in the trade which is an objective of the
3 apprenticeship training.

4 (c) If the Director has received the completed application forms and has determined that
5 the requirements for an apprenticeship program, as set forth in 29 CFR 29.5, are met, the
6 program shall be approved and registered with ApprenticeshipNC. The sponsor shall be
7 notified in writing of the registration.

8
9 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

10 *Eff.*

11
12 **4A SBCCC 100.7 – Registration and Approval of Apprenticeship Agreements and**
13 **Individual Apprentices**

14 (a) A sponsor or an individual, or a person or organization on behalf of the individual, shall
15 request that an apprenticeship agreement between the individual and their sponsor be
16 registered by submitting to the Director a copy of the apprenticeship agreement.

17 (b) If the following requirements are met, then the Director shall approve the
18 apprenticeship agreement and cause it to be recorded by the division, which constitutes
19 registration of the agreement:

20 (1) The agreement is complete and applies to a registered apprenticeship program;

21 (2) The agreement meets the apprenticeship agreement requirements listed in G.S.
22 115D-11.11, G.S. 115D-11.12, and 29 CFR 29.7; and

23 (3) The individual meets the minimum qualifications for an apprentice listed in 4A
24 SBCCC 100.5.

25 (c) If the Director approves the apprenticeship agreement, the Director shall also and
26 simultaneously cause the name of the individual who is to be trained under the agreement
27 to be recorded, which constitutes registration of the individual.

28
29 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

30 *Eff.*

1 **4A SBCCC 100.8 – Denial of a Program Registration Request**

2 (a) If the Director does not approve a request for registration of an apprenticeship
3 program, apprenticeship agreement, or individual, the Director shall deny the request in
4 writing to the requesting party, expressly stating the reason(s) for denial. The Director
5 shall promptly notify the person whose individual registration has been denied when the
6 person is not notified as the requesting party.

7 (b) The requesting party may resubmit the request for registration if the proposed
8 apprenticeship program or apprenticeship agreement is revised to cure the problem(s)
9 resulting in denial.

10
11 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*
12 *Eff.*

13
14 **4A SBCCC 100.9 – Revision of Apprenticeship Program Standards or Agreements**

15 (a) Any proposed revision of program standards of apprenticeship or an apprenticeship
16 agreement shall be submitted in writing by the sponsor to the Director for approval.

17 (b) The revision becomes effective 90 days after the Director's receipt of the sponsor's
18 proposed revision unless the Director, in writing, disallows the revision within that time.
19 The Director may disallow part of the proposed revision and allow part, but in such event
20 the sponsor may withdraw the entire proposed revision. If the revision is not approved,
21 the Director shall notify the sponsor of the reasons for the disapproval and provide the
22 sponsor with technical assistance.

23 (c) When a revision becomes effective, the Director shall record the revision so that it
24 amends the program standards or agreement recorded by the division.

25
26 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*
27 *Eff.*

28 **4A SBCCC 100.10 – Termination and Extension of Agreements**

29 (a) An apprenticeship agreement terminates when the period of the apprenticeship
30 identified by the terms of the agreement expires.

1 (b) The agreement may be extended for a specified period by agreement of the apprentice
2 and sponsor with the approval of the Director. The sponsor shall obtain the Director's
3 approval of an extension in the manner provided in 4A SBCCC 100.9 for revision of
4 apprenticeship standards or an apprenticeship agreement, except that an extension
5 becomes effective within 30 days of the Director's receipt of the proposed extension
6 unless the Director, in writing, disallows the revision within that time, stating the reason(s)
7 for disallowance.

8 (c) Nothing in this Rule shall be construed to prevent a sponsor and an individual meeting
9 the minimum qualifications of an apprentice, as set forth in 4A SBCCC 100.5, from
10 executing an apprenticeship agreement.

11
12 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

13 *Eff.*

14
15 **4A SBCCC 100.11 – Certificate or Certificate of Completion**

16 (a) Upon notification from a sponsor that an individual has completed their apprenticeship
17 in the sponsor's registered apprenticeship program, the Director shall issue to the
18 individual, or to the sponsor for the individual, a certificate of completion or other written
19 indicia signifying that the individual has completed the training and instruction in a
20 registered apprenticeship program in a certain, identified trade and the date the training
21 was completed.

22 (b) Notwithstanding paragraph (a) of this Rule, the Director shall not issue the certificate
23 of completion if the Director decides upon satisfactory evidence that the apprentice is not
24 fully trained because the apprentice did not complete the apprenticeship or because the
25 apprenticeship program did not comply with the rules of this Title. The Director shall give
26 written notification to the sponsor and individual of the decision not to issue the certificate
27 of completion and the reason(s) for the decision.

28 (c) Upon request of a sponsor, the Director may issue an honorary certificate of
29 completion to recognize an individual who by training and experience was fully qualified
30 as a journeyworker prior to the registration of the sponsor's apprenticeship program.

31

1 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

2 *Eff.*

3
4 **4A SBCCC 100.12 – Suspension During Union Activity**

5 (a) Whenever the Director is on notice that a notice of election has been filed with the
6 appropriate governmental agency or that a union has called a strike or is subject to a
7 lockout, the Director shall order that the division shall suspend communications with any
8 representative of the employer or the union on the subject of registration or revision of an
9 apprenticeship program or agreement, except that the division may provide information
10 regarding apprenticeship programs in general to the representative, until such time as the
11 results of the election are certified by the appropriate authority or the strike or lockout is
12 ended.

13 (b) The Director is on notice that a notice of election has been filed whenever a copy of
14 the notice of election has been received by the Director at the address of the division.
15 The Director is on notice of a strike or lockout whenever actual notice is provided of the
16 strike or lockout.

17
18 *History Note: Authority G.S. 115D-11.6; 115D-11.7; 115D-11.8*

19 *Eff.*