

STATE BOARD OF COMMUNITY COLLEGES

Basic Skills Revised State Plan

Request

The State Board is asked to approve changes to the Basic Skills State Plan for 2000 - 2004, extending it through the 2013 - 2014 program year.

Rationale for Changes and Extension

Section 422 of the General Education Provisions Act (GEPA) (20 U.S.C. 1226 (a)) provides for the automatic extension of current program operations for one year if current law expires and a reauthorization is anticipated, but not yet available, to take its place. State Plans are required for States to receive allotments under the Adult Education and Family Literacy Act (AEFLA) and the plans that the Office of Vocational and Adult Education approved under AEFLA will expire June 30, 2013. Because the statute was expiring, those plans were not required to contain performance levels for Program Year 2013 - 2014. States and the Office of Adult Education needed to agree upon new performance targets for that period and the new targets have to be incorporated into the existing State Plan as a revision.

State plans are due to the United States Department of Education April 1, 2013. State Plans must also be submitted to the Governor of the state, and comments made by the Governor must be submitted along with the State Plan revisions.

The revised State Plan for North Carolina will include the following:

- 1) Required annual certifications that the State Plan is being submitted by the agency head with the authority for submitting the plan and that the Plan is in accordance with state law.
- 2) Updated organizational chart.
- 3) Required annual assurances that the funds will follow provisions of the law such as assuring that funds will not be expended for any other purpose than for activities specified in the legislation and that the eligible agency will expend the funds in a manner consistent with fiscal requirements.
- 4) Revised target performance measures (set in collaboration with the United States Department of Education).
- 5) A statement that the state will extend all grants from the 2013 – 2014 program year to the 2014-2015 program year.

Contact Person

Randy Whitfield
Associate Vice President
College and Career Readiness
807-7132

**NORTH CAROLINA BASIC SKILLS
STATE PLAN REVISION
March 2013**

Chapter 1: Eligible Agency Certifications and Assurances

1.1 Certifications

(See Attachment.)

1.2 Assurances

(See Attachment.)

1.3 Application for Federal Assistance, SF-424

(See Attachment)

Chapter 3: Description of Adult Education and Literacy Activities

3.3 Descriptions of New Organizational Arrangements and Changes

(See Attachment.)

Chapter 5: Performance Measures

5.1 Eligible Agency Performance Measures

**NORTH CAROLINA PERFORMANCE MEASURES
FOR BASIC SKILLS PROGRAMS 2013-2014**

Core Indicator #1: Demonstrated Improvements in literacy skill levels in reading, writing, and speaking the English language, numeracy problem solving, English Language acquisition, and other literacy skills.	
Performance Measures	2013-2014
<p>Beginning Literacy ABE The percentage of adult learners enrolled in Beginning Literacy ABE who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	18 % of beginning literacy enrollees will acquire (validated by standardized assessment) the level of basic skills needed to complete the level.
<p>Beginning Basic Education ABE The percentage of adult learners enrolled in Beginning Basic ABE who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	34% of beginning ABE enrollees will acquire (validated by standardized assessment) the level of basic skills needed to complete the level.
<p>Low Intermediate ABE The percentage of adult learners enrolled in Low Intermediate ABE who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	37% of low intermediate ABE enrollees will acquire (validated by standardized assessment) the level of basic skills needed to complete the level.
<p>High Intermediate ABE The percentage of adult learners enrolled in High Intermediate ABE who completed that level.</p>	36 % of high intermediate ABE enrollees will acquire (validated by standardized assessment) the level of basic skills needed to complete the level.

<p>(# completed level ÷ # enrolled = completion rate)</p>	
<p>Low Adult Secondary Education The percentage of adult learners enrolled in Low Adult Secondary Education who complete the level. (# completed level ÷ # enrolled = completion rate)</p>	<p>23% of low ASE enrollees will acquire (validated by standardized assessment) the level of basic skills needed to complete the level.</p>
<p>ESL Literacy (ESL) The percentage of adult learners enrolled in ESL Literacy who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	<p>40% of beginning literacy ESL enrollees will acquire (validated by standardized assessment) the level of English Language skills (speaking, listening, reading and writing) needed to complete the level.</p>
<p>Low Beginning ESL The percentage of adult learners enrolled in Low Beginning ESL who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	<p>41 % of low beginning ESL enrollees will acquire (validated by standardized assessment) the level of English language skills (speaking, listening, reading and writing) needed to complete the level.</p>
<p>High Beginning ESL The percentage of adult learners enrolled in High Beginning ESL who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	<p>39 % of high beginning ESL enrollees will acquire (validated by standardized assessment) the level of English language skills (speaking, listening, reading and writing) needed to complete the level.</p>
<p>Low Intermediate ESL The percentage of adult learners enrolled in Low Intermediate ESL who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	<p>32% of low intermediate ESL enrollees will acquire (validated by standardized assessment) the level of English language skills (speaking, listening, reading and writing) needed to complete the level.</p>
<p>High Intermediate ESL The percentage of adult learners enrolled in High Intermediate ESL who completed that level. (# completed level ÷ # enrolled = completion rate)</p>	<p>29% of high intermediate ESL enrollees will acquire (validated by standardized assessment) the level of English language skills (speaking, listening, reading and writing) needed to complete the level.</p>
<p>Advanced ESL The percentage of adult learners enrolled in Advanced ESL who completed the level. (# completed level ÷ # enrolled = completion rate)</p>	<p>13% of advanced ESL enrollees will acquire (validated by standardized assessment) the level of English language skills (speaking, listening, reading and writing) needed to complete the level.</p>
<p>Core Indicator #2: Placement in, retention in, or completion of postsecondary education, training, unsubsidized employment or career advancement.</p>	
<p>Placement in Postsecondary Education or Training. The percentage of adult learners (with a goal of postsecondary education or training) who exited the program and entered postsecondary education or training. (# entered postsecondary education/training ÷ # with goal of advanced education/training that exited program = postsecondary placement rate)</p>	<p>68% of adult learners with a goal of postsecondary education or training and exit from the program will enroll in postsecondary education or training.</p>

<p>Placement in unsubsidized employment</p> <p>The percentage of adult learners not employed at program entry, with an employment goal, who entered unsubsidized employment by the end of the first quarter after program exit quarter</p> <p>(# adults obtaining unsubsidized employment in the first quarter after program exit quarter ÷ # not employed adults, at entry, with an employment goal = employment rate)</p>	<p>35 % of adult learners not employed at enrollment (with an employment goal) will obtain unsubsidized employment by the end of the first quarter after program exist quarter.</p>
<p>Retention in Unsubsidized Employment</p> <p>The percentage of relevant adult learners who retained unsubsidized employment in the third quarter after exit quarter from the program.</p> <p>Note: Relevant adults are defined as:</p> <ol style="list-style-type: none"> 1. Those enrolled adults employed at program entry with a job retention goal; 2. Those enrolled adults not employed at program entry with an employment goal who obtained employment by the end of the first quarter after exit quarter. <p>(# relevant adults still employed in an unsubsidized job in the third quarter after program exit quarter ÷ # of relevant adults = job retention rate)</p>	<p>35% of the relevant enrollment will retain unsubsidized employment in the third quarter after the program exit quarter.</p>
<p>Core Indicator #3: Receipt of a secondary school diploma or its recognized equivalent.</p>	
<p>High School Completion</p> <p>The percentage of adult learners, with a high school completion goal that exited the program, who earned a high school diploma or recognized equivalent.</p> <p>(# adult obtaining high school credential ÷ # adults with high school completion goal that exited program = high school completion rate)</p>	<p>88% of adults with a high school completion goal that exit the program will earn a high school diploma or recognized equivalent.</p>

Justifications

We are increasing most of our performance measures over our 2011-2012 actual outcome measures by two points each.

Chapter 6: Procedures for Funding Eligible Providers

6.1 Applications

In 2013-2014, North Carolina will extend all grants through the 2014-2015 program year.

Chapter 7: Public Participation and Comment

7.1 Description of Activities

North Carolina requires that state plan revisions be sent to the State Board of Community Colleges for information. The revised plan was submitted to the North Carolina State Board for its approval in March 2013.

The levels for suggested levels for 2012-2013 were set with the goal of increasing the levels met during the 2011-2012 program year. These levels will be renegotiated after all state performance outcome measures have been assessed by the United States Department of Education.

7.2 Governor's Review

The state plan revision and performance measures have been sent to the Honorable Pat McCrory, Governor of North Carolina, for his comments. If we receive comments from the Governor, his comments will be added to the appendix of the State Plan.

Further Information

Requirements of Current Legislation and State Plan Revisions

The only revisions to North Carolina's State Plan include new performance outcome measures for 2013-2014 and an updated organizational chart for Basic Skills which is now College and Career Readiness. North Carolina's current State Plan meets all requirements of the current legislation, The Workforce Investment Act of 1998, Title II, Adult Education and Family Literacy Act, and all current state requirements.

There are no new uses of AEFLA funds for 2013-2014.

ASSURANCES - NON-CONSTRUCTION PROGRAMS

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0040), Washington, DC 20503

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

Note: Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the awarding agency. Further, certain Federal awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

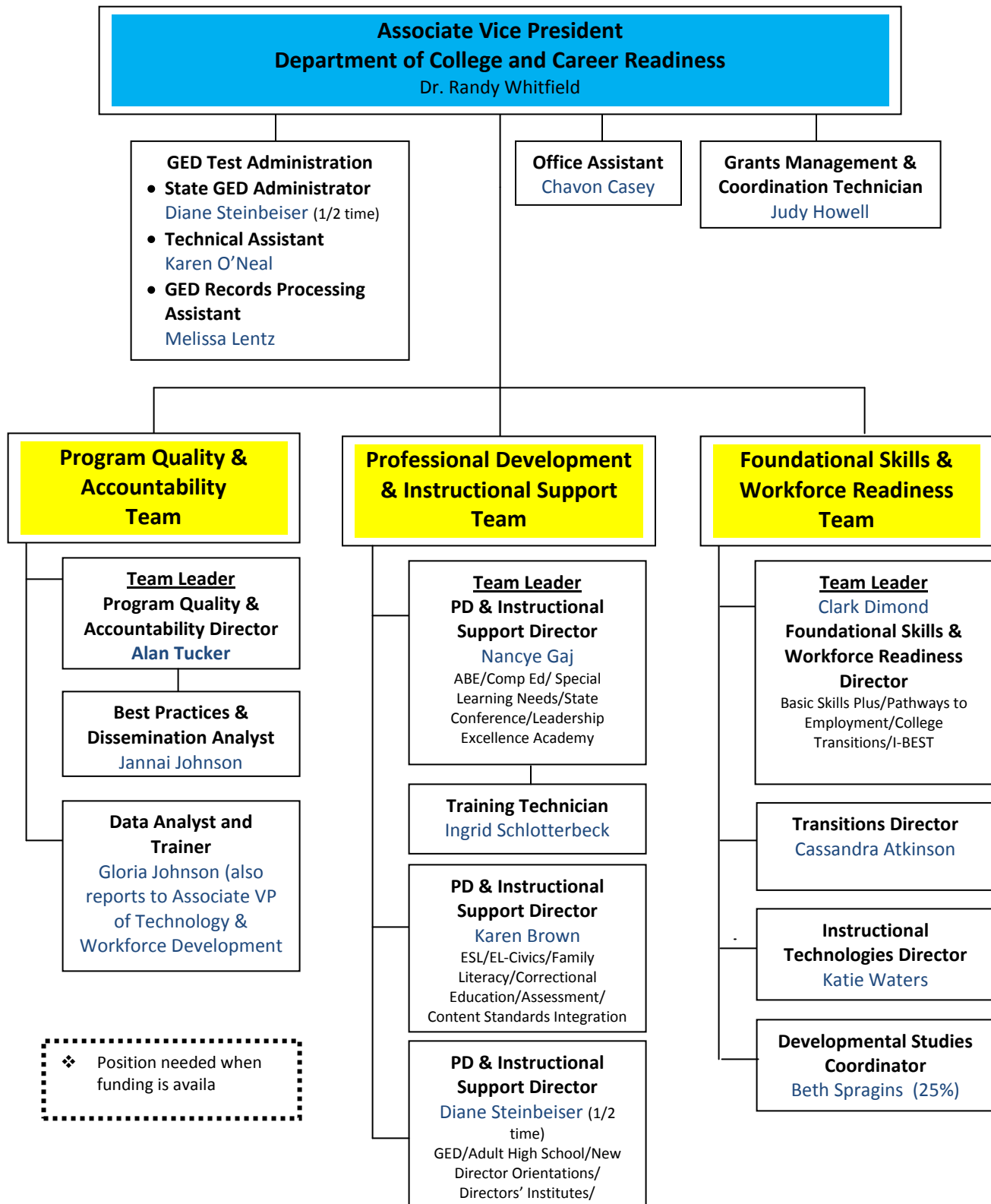
As the duly authorized representative of the applicant I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project cost) to ensure proper planning, management, and completion of the project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States, and if appropriate, the State, through any authorized representative, access to and the right to examine all records, books, papers, or documents related to the award; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
4. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
5. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards for merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
6. Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681-1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§ 6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended, relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§ 523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§ 290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. § 3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.
7. Will comply, or has already complied, with the requirements of Titles II and III of the uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal or federally assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
8. Will comply, as applicable, with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.

9. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874) and the Contract Work Hours and Safety Standards Act (40 U.S.C. § 327-333), regarding labor standards for federally assisted construction subagreements.
10. Will comply, if applicable, with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
11. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §1451 et seq.); (f) conformity of Federal actions to State (Clear Air) Implementation Plans under Section 176(c) of the Clear Air Act of 1955, as amended (42 U.S.C. §7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended, (P.L. 93-523); and (h) protection of endangered species under the Endangered Species Act of 1973, as amended, (P.L. 93-205).
12. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §1721 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
13. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq.).
14. Will comply with P.L. 93-348 regarding the protection of human subjects involved in research, development, and related activities supported by this award of assistance.
15. Will comply with the Laboratory Animal Welfare Act of 1966 (P.L. 89-544, as amended, 7 U.S.C. §2131 et seq.) pertaining to the care, handling, and treatment of warm blooded animals held for research, teaching, or other activities supported by this award of assistance.
16. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
17. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, AAudits of States, Local Governments, and Non-Profit Organizations.≅
18. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations and policies governing this program.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE President
APPLICANT ORGANIZATION North Carolina Community College System	DATE SUBMITTED

Department of College and Career Readiness



Associate Vice President
Department of College and Career Readiness
 Dr. Randy Whitfield

GED Test Administration

- **State GED Administrator**
Diane Steinbeiser (1/2 time)
- **Technical Assistant**
Karen O'Neal
- **GED Records Processing Assistant**
Melissa Lentz

Office Assistant
Chavon Casey

Grants Management & Coordination Technician
Judy Howell

Program Quality & Accountability Team

Team Leader
Program Quality & Accountability Director
 Alan Tucker

Best Practices & Dissemination Analyst
 Jannai Johnson

Data Analyst and Trainer
 Gloria Johnson (also reports to Associate VP of Technology & Workforce Development)

Professional Development & Instructional Support Team

Team Leader
PD & Instructional Support Director
 Nancy Gaj
 ABE/Comp Ed/ Special Learning Needs/State Conference/Leadership Excellence Academy

Training Technician
 Ingrid Schlotterbeck

PD & Instructional Support Director
 Karen Brown
 ESL/EL-Civics/Family Literacy/Correctional Education/Assessment/Content Standards Integration

PD & Instructional Support Director
 Diane Steinbeiser (1/2 time)
 GED/Adult High School/New Director Orientations/Directors' Institutes/

Foundational Skills & Workforce Readiness Team

Team Leader
 Clark Dimond
Foundational Skills & Workforce Readiness Director
 Basic Skills Plus/Pathways to Employment/College Transitions/I-BEST

Transitions Director
 Cassandra Atkinson

Instructional Technologies Director
 Katie Waters

Developmental Studies Coordinator
 Beth Spragins (25%)

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION	
North Carolina Community College System	
* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE	
Prefix: <input style="width: 100px;" type="text" value="Dr."/>	* First Name: <input style="width: 150px;" type="text" value="Robert"/>
* Last Name: <input style="width: 300px;" type="text" value="Ralls"/>	Middle Name: <input style="width: 150px;" type="text" value="Scott"/>
* Title: <input style="width: 350px;" type="text" value="President"/>	
* SIGNATURE: <input style="width: 350px;" type="text"/>	* DATE: <input style="width: 150px;" type="text"/>

**UNITED STATES DEPARTMENT OF EDUCATION
Office of Vocational and Adult Education**

The Adult Education and Family Literacy Act
Enacted August 7, 1998 as Title II of the
Workforce Investment Act of 1998 (Public Law 105-220)

The North Carolina Community College System (State Agency) of the State of North Carolina hereby submits its revised State plan extension to be effective until June 30, 2014. The eligible agency also assures that this plan, which serves as an agreement between State and Federal Governments under the Adult Education and Family Literacy Act, will be administered in accordance with applicable Federal laws and regulations, including the following certifications and assurances.

CERTIFICATIONS

**EDUCATION DEPARTMENT GENERAL
ADMINISTRATIVE REGULATIONS
(34 CFR Part 76.104)**

- (1) The plan is submitted by the State agency that is eligible to submit the plan.
- (2) The State agency has authority under State law to perform the functions of the State under the program.
- (3) The State legally may carry out each provision of the plan.
- (4) All provisions of the plan are consistent with State law.
- (5) A State officer, specified by title in the certification, has authority under State law to receive, hold, and disburse Federal funds made available under the plan.
- (6) The State officer who submits the plan, specified by the title in the certification, has authority to submit the plan.
- (7) The agency that submits the plan, specified by the title in the certification, has authority to submit the plan.
- (8) The plan is the basis for State operation and administration of the program.

ASSURANCES

WORKFORCE INVESTMENT ACT OF 1998 (Public Law 105-220)

Section 224 (b) (5), (6), and (8)

1. The eligible agency will award not less than one grant to an eligible provider who offers flexible schedules and necessary support services (such as child care and transportation) to enable individuals, including individuals with disabilities, or individuals with other special needs, to participate in adult education and literacy activities, which eligible provider shall attempt to coordinate with support services that are not provided under this subtitle prior to using funds for adult education and literacy activities provided under this subtitle for support services.
2. Funds received under this subtitle will not be expended for any purpose other than for activities under this subtitle.
3. The eligible agency will expend the funds under this subtitle in a manner consistent with fiscal requirements in Section 241.

Section 241 Administrative Provisions

- (a) Supplement Not Supplant.—Funds made available for adult education and literacy activities under this subtitle shall supplement and not supplant other State or local public funds expended for adult education and literacy activities.
- (b) Maintenance of Effort.—
 - (1) In General.—
 - (A) Determination.—An eligible agency may receive funds under this subtitle for any fiscal year if the Secretary finds that the fiscal effort per student or the aggregate expenditures of such eligible agency for adult education and literacy activities, in the second preceding fiscal year, was not less than 90 percent of the fiscal effort per student or the aggregate expenditures of such eligible agency for adult education and literacy activities, in the third preceding fiscal year.
 - (B) Proportionate reduction.—Subject to paragraphs (2), (3), and (4), for any fiscal year with respect to which the Secretary determines under subparagraph (A) that the fiscal effort or the aggregate expenditures of an eligible agency for the preceding program year were less than such effort or expenditures for the second preceding program year, the Secretary—
 - (i) shall determine the percentage decreases in such effort or in such expenditures; and
 - (ii) shall decrease the payment made under this subtitle for such program year to the agency for adult education and literacy activities by the lesser of such percentages.
 - (2) Computation.—In computing the fiscal effort and aggregate expenditures under paragraph (1), the Secretary shall exclude capital expenditures and special one-time project costs.

- (3) Decrease in federal support.—If the amount made available for adult education and literacy activities under this subtitle for a fiscal year is less than the amount made available for adult education and literacy activities under this subtitle for the preceding fiscal year, then the fiscal effort per student and the aggregate expenditures of an eligible agency required in order to avoid a reduction under paragraph (1)(B) shall be decreased by the same percentage as the percentage decrease in the amount so made available.
- (4) Waiver.—The Secretary may waive the requirements of this subsection for 1 fiscal year only, if the Secretary determines that a waiver would be equitable due to exceptional or uncontrollable circumstances, such as a natural disaster or an unforeseen and precipitous decline in the financial resources of the State or outlying area of the eligible agency. If the Secretary grants a waiver under the preceding sentence for a fiscal year, the level of effort required under paragraph (1) shall not be reduced in the subsequent fiscal year because of the waiver.

North Carolina Community College System

(State Agency)

5001 Mail Service Center

Raleigh, NC 27699-5001

(Address)

By: _____
(Signature of Agency Head)

R. Scott Ralls, President

(Title)

(Date)

Application for Federal Assistance SF-424	
*1. Type of Submission: <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	*2. Type of Application: * If Revision, select appropriate letter(s): <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision *Other (Specify): _____
* 3. Date Received: Completed by Grants.gov upon submission	4. Applicant Identifier:
5a. Federal Entity Identifier:	*5b. Federal Award Identifier:
State Use Only:	
6. Date Received by State:	7. State Application Identifier:
8. APPLICANT INFORMATION:	
*a. Legal Name: North Carolina Community College System	
*b. Employer/Taxpayer Identification Number (EIN/TIN): 56-1288079	*c. Organizational DUNS: 80-978-4648
d. Address:	
*Street 1:	5001 Mail Service Center
Street 2:	_____
*City:	Raleigh
County/Parish:	_____
*State:	North Carolina
Province:	_____
*Country:	_____
*Zip / Postal Code:	27699-5001
e. Organizational Unit:	
Department Name:	Division Name:
f. Name and contact information of person to be contacted on matters involving this application:	
Prefix: _____	*First Name: Randy
Middle Name: _____	
*Last Name: Whitfield	
Suffix: _____	
Title:	
Organizational Affiliation:	
*Telephone Number: 919-807-7132	Fax Number:
*Email: whitfieldr@nccommunitycolleges.edu	

Application for Federal Assistance SF-424

9. Type of Applicant 1: Select Applicant Type:

A. State Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

*Other (Specify)

***10 Name of Federal Agency:**

U.S. Department of Education, Office of Vocational and Adult Education

11. Catalog of Federal Domestic Assistance Number:

84.002

CFDA Title:

Adult Education – Basic Grants to States

***12 Funding Opportunity Number:**

Not Applicable

*Title:

2013-2014 Revised State Plan under the Adult Education and Family Literacy Act

13. Competition Identification Number:

Not Applicable

Title:

Not Applicable

14. Areas Affected by Project (Cities, Counties, States, etc.):

***15. Descriptive Title of Applicant's Project:**

Adult Education State Administered

Attach supporting documents as specified in agency instructions.

Application for Federal Assistance SF-424

16. Congressional Districts Of:

*a. Applicant: **NC -all**

*b. Program/Project: **Adult Education**

Attach an additional list of Program/Project Congressional Districts if needed.

17. Proposed Project:

*a. Start Date: **July 1, 2013**

*b. End Date: **September 30, 2014**

18. Estimated Funding (\$):

*a. Federal	17,595,023 Est.
*b. Applicant	0
*c. State	69,890,757
*d. Local	unknown
*e. Other	0
*f. Program Income	0
*g. TOTAL	87,485,780

***19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on 3/26/12
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

***20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes No

If "Yes", provide explanation and attach.

21. *By signing this application, I certify (1) to the statements contained in the list of certifications and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances** and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U. S. Code, Title 218, Section 1001)**

**** I AGREE**

** The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

Authorized Representative:

Prefix: _____

*First Name: **Robert**

Middle Name: **Scott**

*Last Name: **Ralls**

Suffix: _____

*Title: **President, North Carolina Community College System**

*Telephone Number: **919-807-6950**

Fax Number: _____

* Email: **rallss@nccommunitycolleges.edu**

*Signature of Authorized Representative: _____

*Date Signed: _____